



Addressing Unwarranted Clinical Variation – Stroke The NSW Stroke Clinical Audit Process (SCAP)

Addressing Unwarranted Clinical Variation in Stroke. 7th December, 2016.

John M Worthington, 1,2,3,4,5

- ¹Ingham Institute for Applied Medical Research, Liverpool, NSW, Australia.
- ²Associate Professor, University of New South Wales Australia.
- ³Head Stroke and Health Services Research Unit, South Western Sydney Local Health District
- ⁴ Senior Staff Specialist Department of Neurology, Liverpool Health Service, Sydney, Australia.
- ⁵ Board Member of Bureau of Health Information, NSW.
- ⁵ Clinical Lead SCAP project, Agency of Clinical Innovation, NSW.

The ACI Stroke Network and ACI have taken BHI's UCV data to the bed-side in search of local solutions to unwarranted clinical variation

Collaboration.
Innovation.
Better Healthcare.







Causes of death after stroke



Management in the first 2-3 weeks has a major impact on mortality, long-term function and discharge destination

Determinants of poor outcomes

- Aspiration, sepsis and fever
- Venous thrombosis
- Hypoxia
- Dehydration
- •Tachycardia eg: poor AF rate control

Diagramatic representation of the causes of death following supratentorial infarction and hemorrhage. (TTH = transtentorial herniation; Pneu = pneumonia; PE = pulmonary thomboembolism). Source: Silver et al. ²⁸

Figure 9. Causes of death in the weeks after stroke

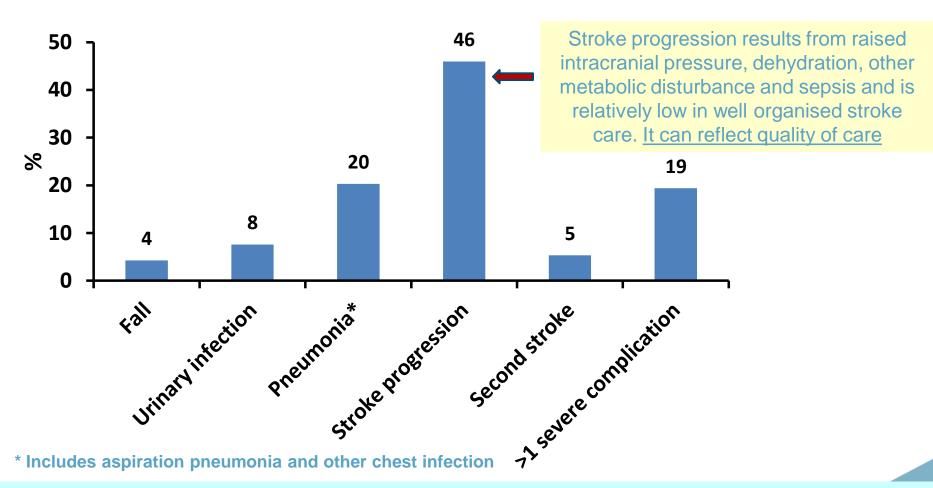
Stroke requires close attention from an experienced multidisciplinary team in a stroke unit of co-localised beds over days and weeks





ACI Audit: Proportion of stroke complications in NSW 2000-14*

Common severe complications in hospital shown as a percentage of all documented complications



*Retrospective medical record audit of 5,413 stroke patients in acute NSW public hospitals throughout 2000-2014. Median age 78 years (Q1: 68, Q3: 84), 51% male and 93% with ischaemic stroke.

<u>Eight percent experienced a severe complication while in acute hospital care.</u>

Purvis T, Longworth M, Kilkenny M, Worthington J, Pollack M, Levi C, Cadilhac D

Evidence based practice in ischaemic stroke

There is substantial evidence around what constitutes good ischaemic stroke care.

Major elements of good stroke care include:

- Stroke units. With co-localised stroke beds served by a multidisciplinary stroke team that uses evidenced-based pathways improve stroke outcomes by approximately 30%, at all ages, in NSW.¹ All are eligible for Stroke Unit care. New NWAU adjuster.
- Clot-busting. IV rt-PA within three hours, reduces death and disability by 44% (Cochrane), with more modest benefits at 3-4.5 hours (favourable Odds Ratio 1.34).^{2,3} There is an all-hours cost-ofreadiness and no DRG. Eligibility around 16% of all strokes in high performance settings. New IV Thrombolysis code.

¹Gattellari et al Stroke 2009; 40: 10-7.

² Wardlaw et al, Cochrane Database of Systematic Reviews. 2003 (3).

³.Emberson et al. Stroke Thrombolysis Trialists' Collaborative Group. Lancet 2014, *Published online*.

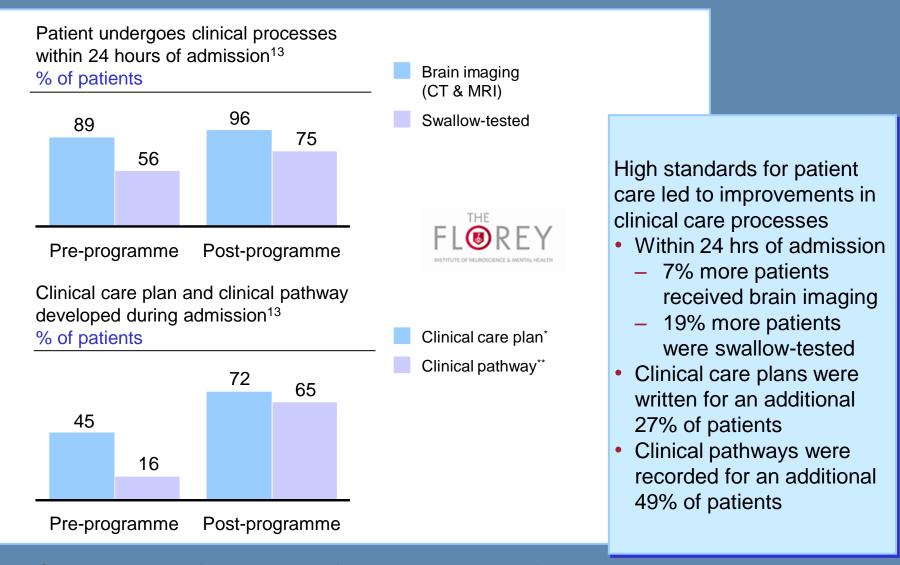
Outcomes for ischaemic stroke before and after introduction of stroke units in 10 Non-Principal Referral NSW hospitals

	Home	SE DESTINATION Nursing home	_ Death	Other*	
10 NON-PRIN	CIPAL REFER	RAL HOSPITALS	(METRO) Ag	<u>e > 85 years</u>	
5 () () ()			22.20/		
Before ASU	20.3%	12.9%	26.8%	40.0%	
After ASU	† 28.7%	↓ 10.3%	↓ 19.7%	41.4%	
10 NON-PRIN	CIPAL REFER	RAL HOSPITALS	(METRO) AI	l adults	
Before ASU	38.7%	6.3%	13.8%	41.2%	
After ASU *transfer to othe	† 44.5% r hospitals/chan	↓ 4.9% ge in type	↓ 10.5%	40.2%	

^{*}transfer to other hospitals/change in type

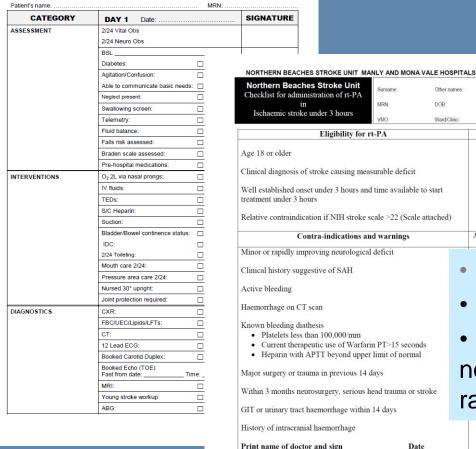
p<0.001 (significant main effect and interaction type*time). Controlling for: age, co-morbidity (modified Charlson Index), sex, marital status, country of birth, hours on mechanical ventilation, insurance status, and clustering of outcomes by hospital in GEE multivariate model. Gattellari et al Stroke, 2008.

Stroke units improve the quality of stroke care



- Clinical care plan is defined as evidence of a written plan by health professionals to avoid complications.
- **Clinical pathway is defined as a structured tool detailing the activities of care during hospital admission.

Stroke and thrombolysis pathways save lives and reduce disability.





- Everyone needs a checklist!
- Avoid a plane crash!

Check

Answer must be

 When thrombolysis check-lists are not used the haemorrhage and death rates are unacceptable (Cleveland)



Incontinence at 72

Rural location

Stroke unit care

Stroke pathway

Aspirin within 24hrs#

24 hrs

Brain scan within 24

Neurologist

hours

Male

Severe complication N = 448

209 (47%)

341 (79%)

259 (58%)

101 (23%)

136 (30%)

384 (86%)

115 (26%)

150 (42%)

Patient Characteristics

complication N = 4,965

2,503 (51%)

No severe

ke	care	and	complic	ations	in	NSV
0.1		ь	10			

Stro p value 0.1

Factors associated with

Age

Age median (Q1, Q3) 81 (74, 86) 77 (67, 84) < 0.001 **Independent prior** severe complications** Independent prior^ 256 (61%) 3,438 (72%) < 0.001 Stroke type/severity at presentation Imparied speech* < 0.001 Haemorrhagic stroke 372 (85%) 4,466 (94%) ACI stroke audits were Unable to walk* Impaired speech 338 (82%) 3,074 (65%) < 0.001

0.03

0.5

0.02

< 0.001

< 0.001

Arm deficit < 0.001 370 (86%) 3,368 (70%) < 0.001 Unable to walk 321 (80%) 2,536 (58%)

No severe complication

**Results of bivariable analyses

carried out pre- and post-stroke unit Arm deficit* implementation and in a wide range of Incontinent at 72 hours metropolitan and rural hospitals over almost 15 Haemorraghic years. stroke **Team meeting Stroke Pathway**

Severe complication

*Retrospective medical record audit of 5,413 stroke

93% with ischaemic stroke. Eight percent experienced a

severe complication while in acute hospital care.

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M, Levi C, Cadilhac D

< 0.001 1,835 (40%) **Hospital factors** 2,884 (58%) 0.9 1,296 (26%) 0.1 **Bedside factors**

1,770 (36%)

4,288 (88%)

1,694 (35%)

2,627 (60%)

Physiotherapy within 92 (21%) 1,271 (26%) patients in acute NSW public hospitals throughout 2000-Regular neurological 303 (69%) 3,185 (65%) 0.1 2014. Median age 78 years (Q1: 68, Q3: 84), 51% male and observations < 0.01 Team meeting 97 (22%) 833 (17%)

Improving ischaemic stroke outcomes in NSW

The potential years of life lost due to all stroke types has fallen by 16% over 10 years in NSW which is midrange among other OECD countries



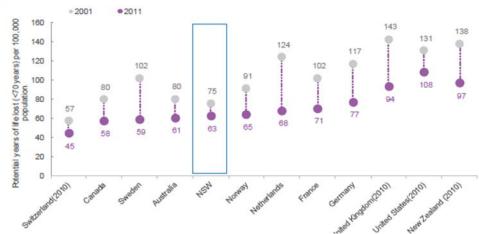
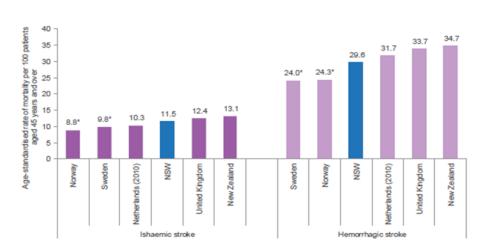
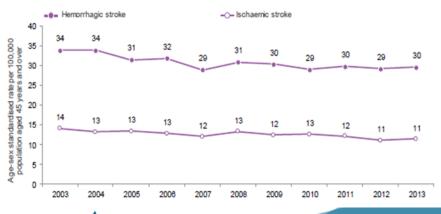


Figure 3.18 Age-sex standardised 30 day (in-hospital and out-of-hospital) mortality rate for stroke among adults aged 45 years and over, by type of stroke, public and private hospitals, NSW and available comparator countries, 2011 or nearest year



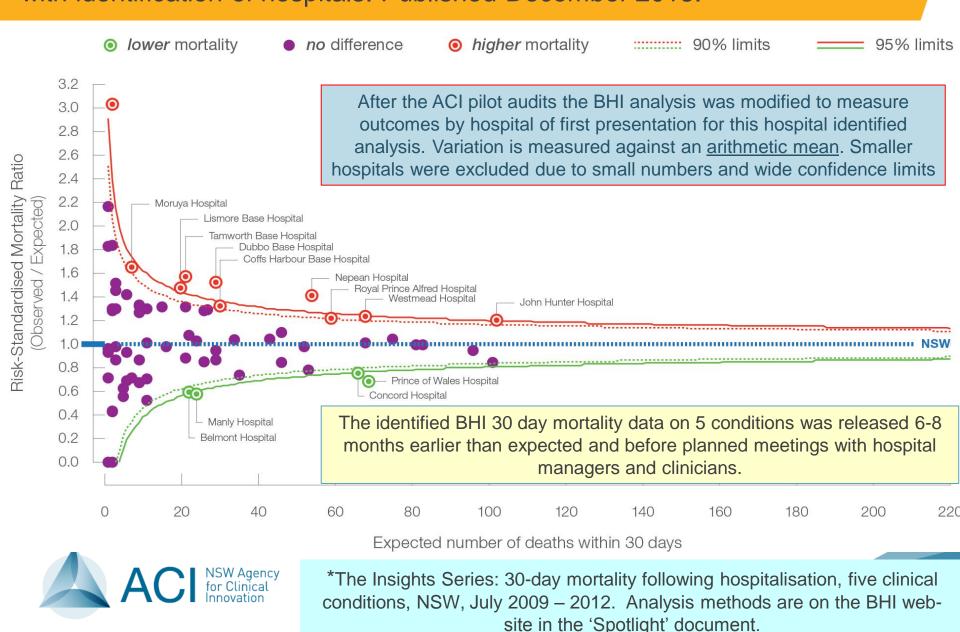
In 2011 the age standardised 30 day mortality of ischaemic and haemorrhage stroke in those over age 45 years was 11.5 and 29.6%, having fallen by 19 and 13%, respectively, over the 10 years (2003-2013).

Figure 3.19 Age-sex standardised 30 days (in-hospital and out-of-hospital) mortality rate among adults aged 45 years and over, by type of stroke, public and private hospitals, NSW 2003 to 2013





Why? The BHI publication of 30 day ischaemic stroke mortality 2009-2012, with identification of hospitals. Published December 2013.*



The response to unwarranted clinical variation.

DATA

- Identification of clinical variation in ischaemic stroke
- Health Care in Focus (BHI) published December 2012
- Meeting with the Unwarranted Clinical Variation Taskforce
- Ongoing process to refine ascertainment, analysis, reporting
- Work group meetings of ACI and BHI representatives.

LHD ENGAGEMENT

- ACI letters to LHD CEs and clinician leaders 7 March 2013
- Clinical Variation Workshop ACI, BHI, UCV Taskforce 3 April 2013
- Workshop feedback on required reporting and QI processes.

AUDIT AND ANALYSIS

- Expert Reference Group to discuss site audit tools and processes. BHI, ACI and SSNSW and the Florey and Ingham Institutes – first meeting 22 April 2013
- Updated ACI audit tool and access of other site data 28 June 2013

QI

- Implementation team plan pilot site visits May 10
- Invitation letter to LHDs for participation in the QI process
- Pilot site audits began 7 July and site visits start 7 August 2013
- · Audit results and further consultation refined BHI data analyses.







Setting variables

- What are we trying to do and are we measuring what can be changed?
- Effective and valuable for what and for whom? *
- Obvious/intuitive and evidence based variables.
- Variables are hopefully supported by local guidelines and policy or be a defensible or overdue alternative to these.
- Variables should, where possible, be mappable to earlier work and to the measures of others to detect change and benchmark.
- Some variables are needed to pioneer innovation such as early swallow screening

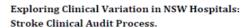


Clinical variation: Measuring and improving care. SCAP and pilot audits, analysis and feedback



 Adherence with bed-side processes known to improve patient outcomes and experience

- Access to desired investigations
- Use of a stroke clinical pathway
- Access to stroke unit beds
- Access to a multidisciplinary team
- Evidence-based prescribing
- Prevention and timely treatment of stroke complications

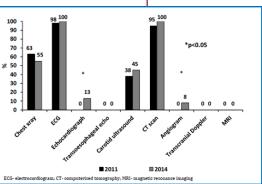


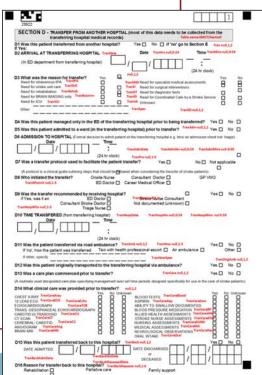
Cowra Hospital

Provisional Report

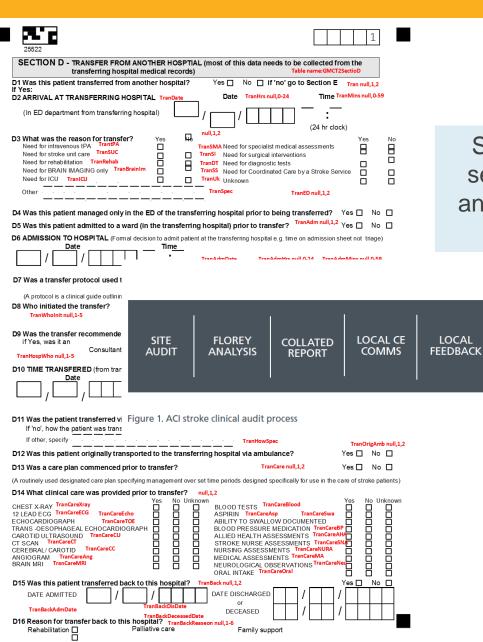
October 2014







ACI actions: Examining clinical variation to improve stroke care.





Supervised audits, written reports and senior peer feedback to local clinicians and managers responsible for the stroke journey including ambulance.

COLLABORATIVE

FEEDBACK

(STATE-WIDE)

IMPROVEMENT

PLAN



Facilitation of local solutions to UCV



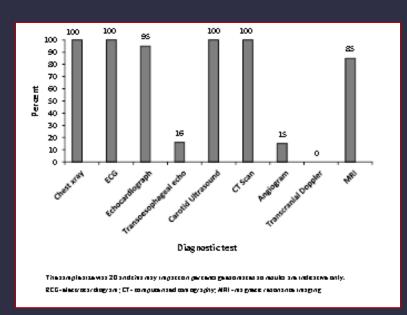
First six rural and metropolitan pilot site visits 2013.

Site and date	Туре	N	Crude 30 day Mortality%	Standardised (Adjusted) BHI Mortality %	CI 95%	
Hospital 1 14 th August	Principal referral ATC/SU	353	17.1	20.7	15.3-27.2	The ACI team selected 6 rural and metropolitan sites
Hospital 2 7 th August	Principal referral ATC/SU	289	8.0	8.2	5.0-12.6	with above or below average mortality in the 2012 BHI
Hospital 3 14 th August	Non- principal, Metro. SU	138	11.6	9.2	5.2-15.1	analysis and with different service characteristics
Hospital 4 15 th August	Rural. SS/No SU	197	20.8	19.1	13.6-26.15	The first BHI analysis was of the final hospital.
Hospital 5 29 th August	Rural No SU	83	22.9	30.6	7.6-63.0	Subsequent analyses were
Hospital 6 30 th August	Rural ATC/SU	213	8.9	9.6	5.6-15.3	based on hospital of first presentation.

The NSW Stroke Network accepts that stroke care varies and there is a unwarranted variation in stroke outcomes.

Example: Hospital 6 Pilot Audit Results 2013





No hospital unit performed consistently well across all clinical care processes that are likely to influence patient outcomes. Where outcomes appeared worse the gaps in evidence-based care were generally greater

- Rural SU and ATC. Similar results to 2008/9
- •55% transferred in (one for rehab). Hub and spoke!
- Average age 71 years
- •35% had AF

Assume Nothing!

- •15% a previous stroke
- •All were admitted to the stroke unit!
- •75% were on a stroke clinical pathway during the admission.*
- •65% had a CT within 2 hours and 100% in 24 hours.
- Stroke investigation rates shown in figure
- •100% received neurological observations in the first 24 hours
- •72% received aspirin in the first 24 hours.
- Documented swallow assessment in 4 hours of 40% (45% in speech impaired)*

First three metropolitan site audits in 2013.

Site and date	Type	Adjusted mortality %	Selection of audit characteristics
Hospital 1 14 th August	Principal referral ATC N=353	20.7	July-Aug 2011 3 transfers in. Nil reported palliative. Rapid CT brain; rate 100%. 100% reached stroke unit or HDU. 100% Neuro obs in 1st 24 hours. Low rate of cardiac ultrasound 30%. No use of a stroke clinical pathway. Only 78% on antithrombotics at discharge. 44% on aspirin in 24 hours. Documentation of swallowing at 4 hours 25%.
Hospital 2 7 th August	Principal referral ATC N=289	8.2	Aug 2011-Nov 2011. 1 transfer in. 1 documented for palliative care and 2 t/f to a Pal care facility. Rapid CT brain; rate 100%. 100% reached stroke unit or HDU. 95% Neuro obs. Cardiac ultrasound TOE + TTE 76%. Clinical pathway 45%. 84% on antithrombotic on discharge. 58% on aspirin in 24 hours. Swallowing documentation at 4 hrs 70%.
Hospital 3 14 th August	Non-principal Metro. SU N=138	9.2	July 2011-Jan 2012. Note: Recent major service changes. No transfers in. Two documented as palliative care. 63% reached the stroke unit. TOE + TTE 97%. 63% Neuro obs. 85% on a clinical pathway. 93% on antithrombotics at discharge. 60%on aspirin at 24 hours. Swallowing documentation < 4 hrs 20%.

The face-to-face feedback to managers and clinicians was almost universally well met and has impacted on care

First three rural site audits in 2013

Site and date	Type	Adjusted mortality %	Selection of audit characteristics
Hospital 4 15 th August	Rural no SU N=197	19.1	April 2012-June 2012. 7 transferred in. Nil documented palliative. CT 95%<24 hours. No stroke unit. Neuro obs 55%. Low rate of cardiac echo. 80% clinical pathway (new stroke co-ordinator). 71% on antithrombotics at discharge. 47% on aspirin in 24 hours. Swallowing documentation < 4 hrs 10%.
Hospital 5 29 th August	Rural no SU N=83	30.6	July 2011-May 2012 (N=11). High rate of missing data. 1 transfer. 3 palliative care. No on-site CT. 36% documented CT. No stroke unit. Neuro obs 9%. No cardiac echo. No documented carotid imaging. No clinical pathway. 80% on antithrombotics at discharge. 20% on aspirin at 24 hours. Documentation of swallowing < 4 hours 0.
Hospital 6 30 th August	Rural ATC N=213	9.6	Aug-Nov 2012. 55% transferred in. All with protocols. Delays in t/f post-onset. No documented pal care. CT 100% < 24 hours. 100% reached stroke unit. Cardiac echo>95%. 100% neuro obs. 75% clinical pathway. 100% on antithrombotics at dc. 72% on aspirin at 24 hours. Documentation of swallowing < 4 hrs 40%.

6 pilot sites: Comparison of processes expected to influence stroke patient outcomes

Hospital	Adjusted Mortality (%)	SU/HDU Bed (%)	24 hr Neuro Ob's (%)	Clinical P'way (%)	Swallow test< 4 hrs (%)	%Discharged on A'thrombotics	Aspirin at 24 hours (%)	Pall' Care (N)	% D/C on Statin
1	20.7	100	100	0	25	78	44	0	28
2	8.2	100	95	45	70	84	58	3	63
3	9.2	63	63	85	20	93	60	2	60
4	19.1	0	55	80	10	71	47	0	43
5	30.6	0	9	0	0	80	20	3	20
6	9.6	100	100	75	40	100	72	0	67



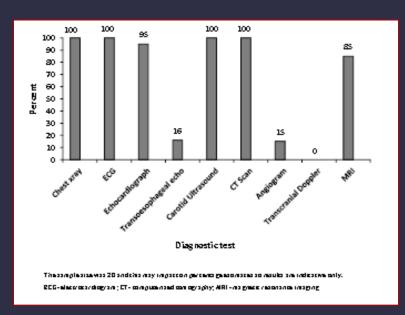
Pilot results (and methods) provided a proof of concept for the SCAP project

Red numbers indicate worse than expected mortality or process adherence

Unwarranted clinical variation in stroke is explicable variation. At present stroke patients do not always receive evidenced-based care. This may be the result of being admitted to a smaller hospital with no organised stroke care and little prospect of providing it, admission to a hospital where stroke unit care could reasonably be provided but no unit has been established, because patients fail to reach stroke unit beds in a hospital with a stroke unit or because of variations in the quality of care delivered in existing stroke units.

Example: Hospital 6 Pilot Audit Results 2013





No hospital unit performed consistently well across all clinical care processes that are likely to influence patient outcomes. Where outcomes appeared worse the gaps in evidence-based care were generally greater

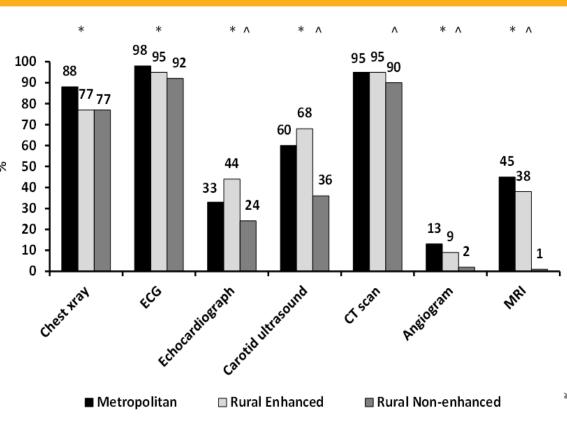
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SCAP audits: Average rates of investigation across **Unenhanced and Rural and Metro Enhanced sites**



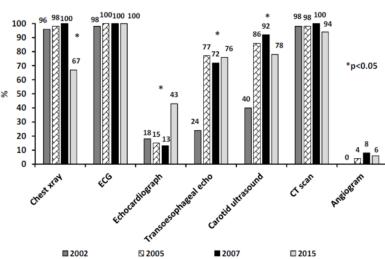
- * p<0.05 between metropolitan-rural enhanced sites
- ^ p<0.05 between rural enhanced-rural non-enhanced sites





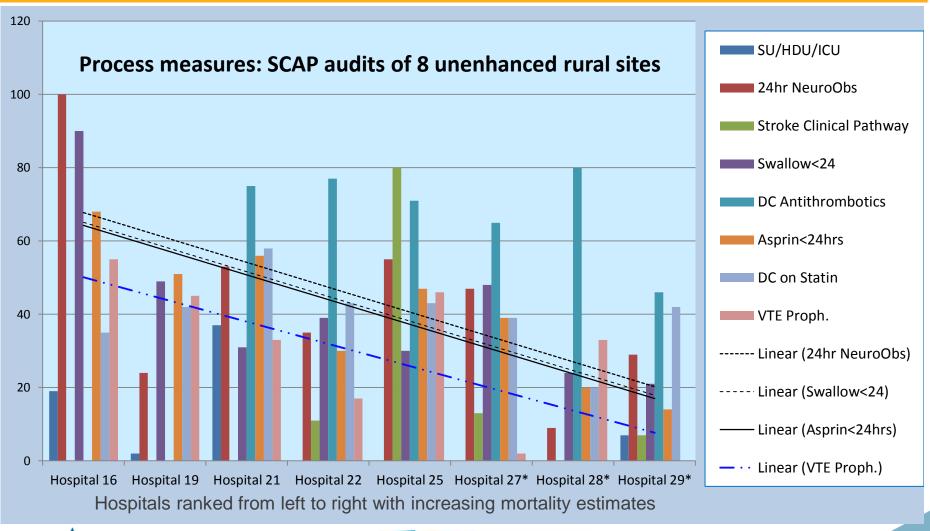
The rates of investigation were lower at unenhanced hospitals some of which had no onsite CT scanning, with an average of 74% receiving brain imaging within 24 hours. CT rates at two Unenhanced sites. were 36 and 43%. Documented carotid imaging and echocardiography rates were zero at some sites

Hospital 1: Investigations over 4 audits



ECG- electrocardiogram; CT- computerised tomography; MRI- magnetic resonance imaging * significant p<0.05 in linear trend across audit periods

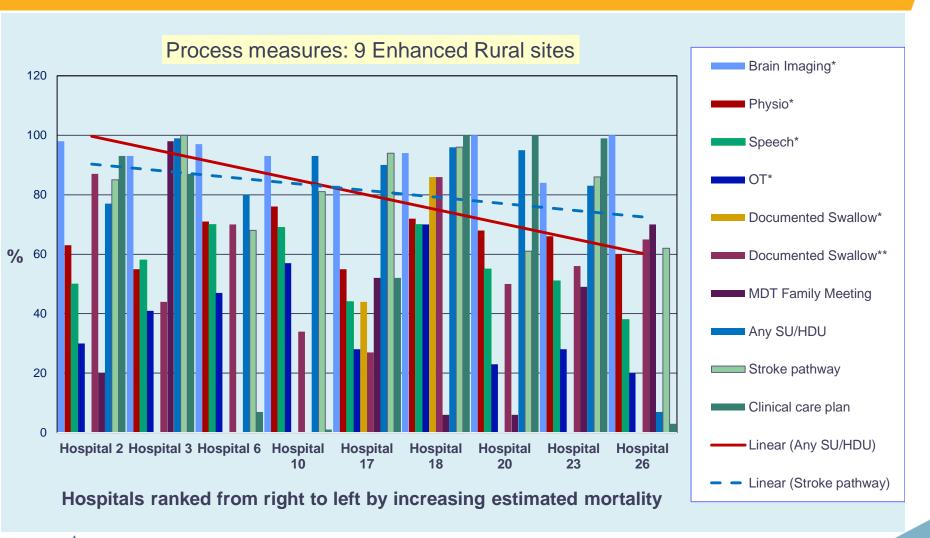
SCAP: Process measures at 8 Unenhanced Rural sites N=495







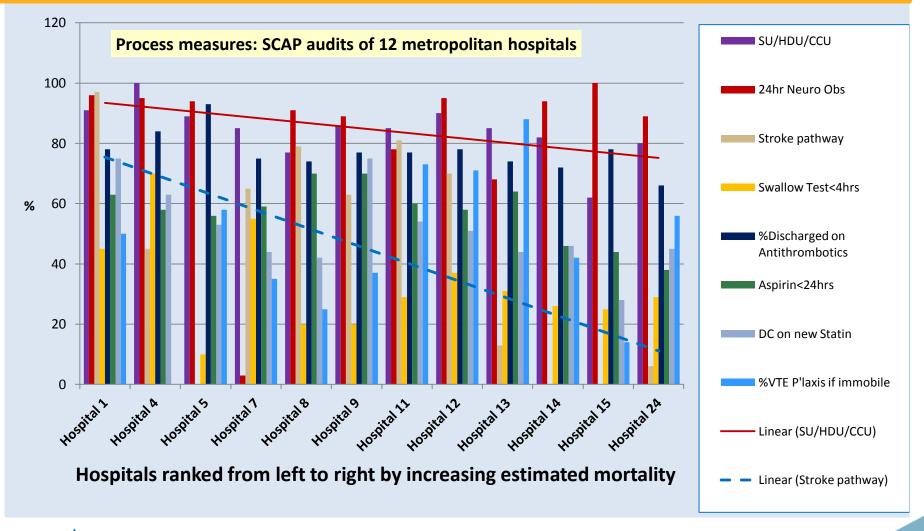
SCAP: Process measures at 9 Enhanced rural sites N=510







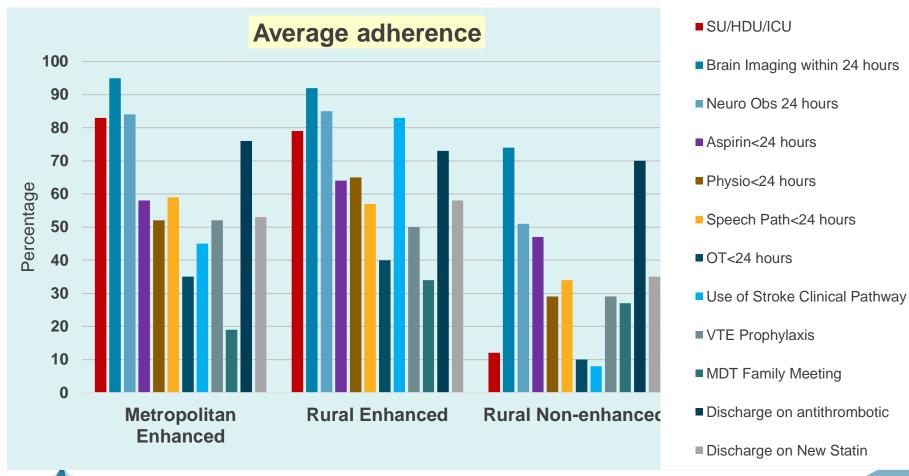
SCAP: Process measures at 12 Metropolitan hospital sites N=784







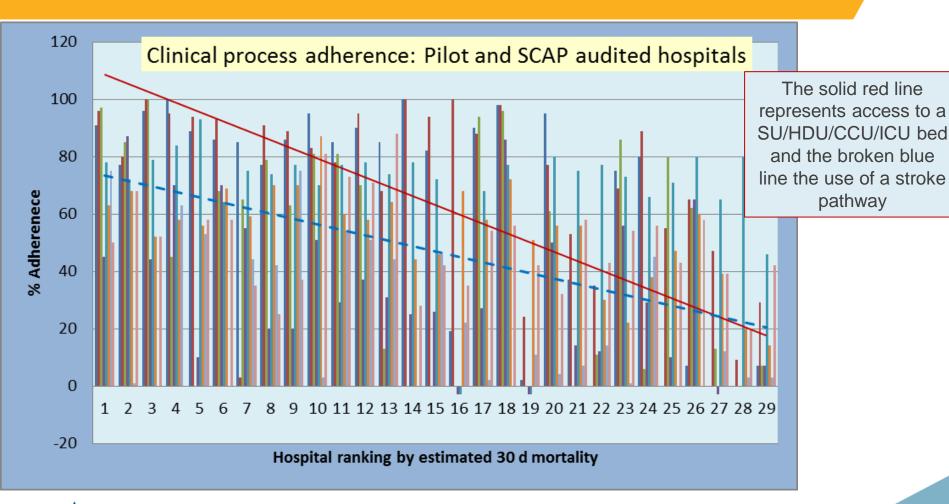
SCAP Audit: Average process adherence by type







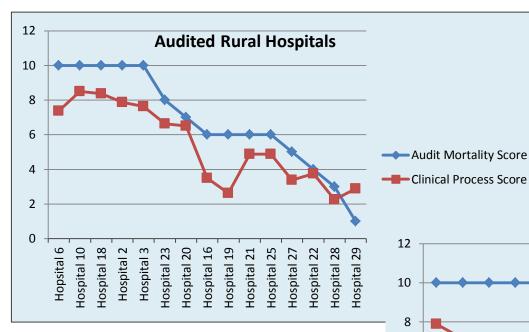
SCAP audit: Process measures across 29 sites N=1788







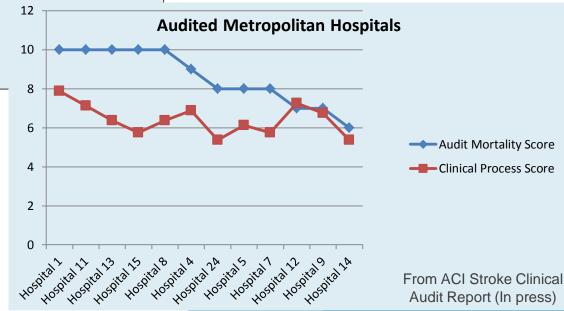
Mortality Vs Clinical Process Adherence



Mortality versus an unweighted score of process adherence by hospital site including access to stroke beds, VTE prophylaxis and use of stroke pathways







SCAP: Identifying unwarranted clinical variation

- A minority of hospitals provide specialised stroke care and no hospital performed uniformly well across all key processes.
- Brain imaging in 24 hours varied between 46% and 100%.
- Cardiac echocardiography 0 to over 90%. Carotid duplex 0-86%.
- VTE prophylaxis in immobile patients peaked at 88%, only fourteen sites exceeded 50%. Five sites, including two stroke units had rates lower than 15%.
- Discharge on an antithrombotic in ischaemic stroke varied widely, from 46-93%.
- Stroke clinical pathway were used 0 to over 90% of the time although pathways reduce complications. Access to stroke unit beds was highly variable.
- Acute Thrombolysis Centres to which ambulances are directed had 'clot-busting' rates ranging from 1-2% to over 20%.
- Lower use of stroke clinical pathways, lower access to stroke beds and lower adherence with other key bed-side processes were associated with higher mortality, explaining the sources of unwarranted clinical variation.

Common local Quality Improvement activities resulting from the SCVSS & SCAP



Feedback sessions engaged local clinicians and managers together, as well as members of ASNSW and often members of the LHD executive. Local QI responses were facilitated by a local clinician leader and Mr Mark Longworth from ACI/SCAP. Local responses were comprehensive and new strategies shared with other sites

- Establishing a new stroke unit.
- Patient flow review to ensure 90% of all presenting patients are admitted to a stroke unit
- Develop a stroke/neurology pathway
- Ongoing program of ED staff education to implement the Acute Screening of Swallow in Stroke/TIA Training Tool (ASSIST) for all stroke patients at presentation.
- The development, implementation and evaluation of a 24/7 blanket referral to Allied Health, commencing in ED and confirmed when the patient is admitted to a ward bed.
- Pharmacy review of all stroke patients with a particular emphasis on the prescribing of anti-thrombotics and statins
- Use of local HDU beds or ambulance bypass and hub and spoke transfer
- Specific QI for individual processes



Results and locally agreed strategies were fed back to LHD CE's by the ACI Chief Executive in writing

SCAP: Improving stroke unit access

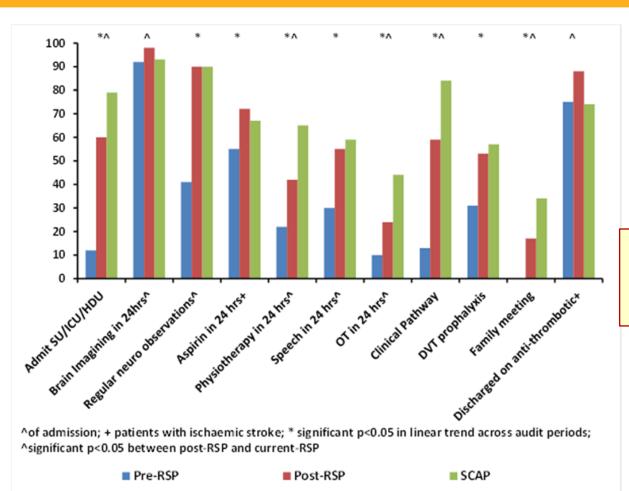


- A minority of hospitals provide organised/specialised stroke care.
- At the beginning of the pilot and SCAP process there were no stroke units in two of participating LHDs and in eastern NSW and there was no organised stroke thrombolysis south of Campbelltown in Eastern NSW.
- Since the pilot process there are four new stroke units and a new stroke service are coming on line in the areas of focus.
- Three new Acute Thrombolysis Centres have come on line.
- In SCAP all unenhanced sites seeing >100 strokes per year are being enhanced or bypassed using a hub and spoke model.





Enhancement and change in clinical process adherence



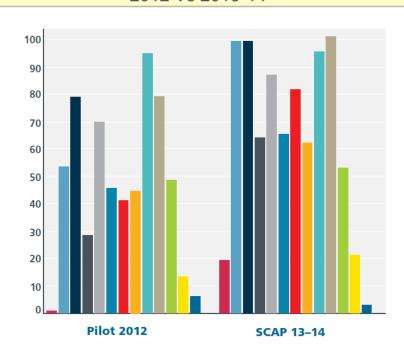
Not every improvement was maintained or reached acceptable levels



Adherence to nominated clinical process of care indicators for the six hospitals that participated three audits the Rural Stroke Project and Stroke Clinical Audit Process

SCAP project: Site-by-site process improvement

Pilot Hospital 4: Clinical process adherence and access 2012 Vs 2013-14



igure 7. Pilot hospital 4: Clinical process adherence and access (2012 vs 2013-14)

SU/HDU/ICU

24hr NeuroObs

Stroke Clinical Pathway

Speech path <24hrs

DC Antithrombotics

Aspirin <24hrs

DC on Statin

VTE Prop.

Brain imaging <24hrs</p>

Care plan

Physio <24hrs

OT <24hrs

Swallow <24hrs

From a Pilot audit with poor adherence, and a high BHI mortality estimate, to a new Stroke unit and now an Acute Thrombolysis Centre. The 2013-14 audit bridges the inception of the new Stroke unit but shows substantial improvement in process adherence

More recent audit shows 95% access to SU/HDU and 100% antithrombotic prescribing on discharge

Hospital	BHI 30 day Mortality (%)	SU/HDU Bed (%)	24 hr Neuro Ob's (%)	Clinical P'way (%)	Swallow test< 4 hrs (%)	%Discharged on A'thrombotics	Aspirin at 24 hours (%)	Pall' Care (N)	% D/C on Statin
4	19.1	0	55	80	10	71	47	0	43

SCAP achievements

- SCAP provides an explanation for reported unwarranted clinical variation.
- Feedback, to hundreds of clinicians and managers, has resulted in local responses to address unwarranted clinical variation.
- Early re-audits have demonstrated improvements.
- Four new stroke units, a new stroke service and three new Acute
 Thrombolysis Centres have been opened or are coming on line.
- All unenhanced sites seeing >100 strokes per year are being enhanced, or bypassed.
- New NSW funding arrangements are now in place to improve patient access to stroke unit beds.











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Presenter's Research Funding sources

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Conflicts of interests

No pharma funding since 2004. SCAP project clinical lead and BHI board member



<u>In memoriam</u>

Dr Tiziana Savio and Dr Ian Black

